

~~#6~~ Receipt  
PATENT  
A #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atsushi TANAKA, et al.

Serial No.: 09/843,030

Filed: April 27, 2001



Group Art Unit:

Examiner:

For: PORTABLE INFORMATION EQUIPMENT HAVING DATA EVACUATION  
FUNCTION AND METHOD OF DATA EVACUATION THEREOF

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Residency of the Inventors, the Total Number of Claims, and the Total Number of Independent Claims is incorrect on the Filing Receipt. Attached is a copy of the Combined Declaration/Power of Attorney being filed concurrently herewith, which evidences that the Residence of the First and Fourth Inventors should read: **MORIGUCHI-SHI, OSAKA, JAPAN**. The Residence of the Second Inventor should read: **KOBE-SHI, HYOGO, JAPAN**. The Residence of the Third Inventor should read: **NISHINOMIYA-SHI, HYOGO, JAPAN**. The Total Number of Claims should read: **31**. The Total Number of Independent Claims should read: **2**.

It is requested that a corrected Filing Receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Ramyar M. Farid

Registration No. 46,692

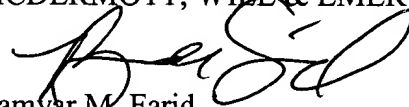
600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 RMF:ykg  
**Date: August 17, 2001**  
Facsimile: (202) 756-8087

Please charge Deposit Account No. 500417 in the amount of \$1,168.00. Please charge any shortage in fees due in connection with the filing of this paper to Deposit Account 500417 and please credit any excess fees to such deposit account.

It is requested that the official filing receipt now be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Ramyar M. Farid

Registration No. 46,692

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 RMF:ykg  
**Date: August 17, 2001**  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/843,030         | 04/27/2001  |              | 0.00          | 43890-511       | 6 ✓      | *31        | *2         |

20277

MCDERMOTT WILL & EMERY  
600 13TH STREET, N.W.  
WASHINGTON, DC 20005-3096

RECEIVED

JUN 21 2001

McDermott, Will &amp; Emery

CONFIRMATION NO. 7217

## FILING RECEIPT



\*OC000000006197617\*

Date Mailed: 06/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

MORIGUCHI-SHI, OSAKA, JAPAN

Atsushi Tanaka, ~~Residence Not Provided;~~Osamu Kamo, ~~Residence Not Provided;~~ KOBE-SHI, HYOGO, JAPANMasaki Mukai, ~~Residence Not Provided;~~ NISHINOMIYA-SHI, HYOGO, JAPANOsamu Sameshima, ~~Residence Not Provided;~~

MORIGUCHI-SHI, OSAKA, JAPAN

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/18/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Portable information equipment having data evacuation function and method of data evacuation thereof

Preliminary Class

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Data entry by : DUONG, MICHAEL

Team : OIPE

Date: 06/19/2001

A handwritten signature in black ink, appearing to read 'Michael Duong', is written over a horizontal line of small, repeating characters.

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

AUG 17 2001

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/843,030         | 04/27/2001          | Atsushi Tanaka        | 43890-511              |

CONFIRMATION NO. 7217

20277

 MCDERMOTT WILL & EMERY  
 600 13TH STREET, N.W.  
 WASHINGTON, DC 20005-3096

## FORMALITIES LETTER

 RECEIVED 08/23/2001 10:00 AM  
 08/23/2001 10:00 AM  
 08/23/2001 10:00 AM


\*OC000000006197618\*

~~08/23/2001 HARB11 00000119 500417 09843030~~

Date Mailed: 06/19/2001

~~01 FC:103 198.00 CH~~

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/21/2001 HARB11 00000003 500417 09843030

FILED UNDER 37 CFR 1.53(b)

 01 FC:101 710.00 CH  
 02 FC:105 130.00 CH  
 03 FC:139 130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the



English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE